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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

#25

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2737  
Examiner: FAILE, A.  
Attorney Docket: 05634.0345

Serial No.: 08/498,002

Filed: June 7, 1995

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

RECEIVED

JAN 4 2001

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/498,002 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above referenced application Serial No. 08/498,002 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3273 for the recalculated fees of **\$1,046.00** to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

Adjustment date: 01/09/2001	00000030	08498002	710.00	OP
01/05/2001 SLUANG	00000030	08498002	400.00	OP
05 FC:699			18.00	OP
			890.00	OP
			74.00	OP
01/09/2001 LGIBBS	00000031	08498002		
01 FC:197				
01/05/2001 SLUANG	00000030	08498002		
01 FC:101				
02 FC:102				
03 FC:103				
04 FC:117				
05 FC:699				

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

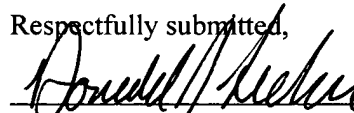
Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	\$206.00
Response to first action new claim fees:	
Response to first action extension of time:	\$475.00
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$1,046.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,



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Donald J. Lecher  
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Attorneys for Applicants  
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# Transaction List

Search by

Accounting Date:  Operator ID:   
(MMDDYY)



Name/Number:  08498002

Accounting Date

Starts:

Ends:

Attny Docket No.:

Deposit Account No.:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
11/03/1998	TCOLEY	23	SALE	148	A	110.00	08498002	
07/09/1998	SSALEEKU	53	SALE	117	A	950.00	08498002	
10/09/1997	EKURTZ	23	SALE	217	A	475.00	08498002	
01/19/1996	260YC	5302	CRDA	202	A	195.00	08498002	
01/19/1996	260YC	5301	CRDA	203	A	11.00	08498002	
09/19/1995	260SC	2609302	CRDA	205	A	65.00	08498002	083038
09/19/1995	260SC	2609301	CRDA	105	A	130.00	08498002	083038
09/19/1995	260YC	2607801	CRDA	105	A	130.00	08498002	083038
08/03/1995	140AA	2201	CRDA	201	A	365.00	08498002	

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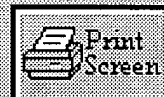
JAN 4 2001

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Adjustment Detail

Authorization Detail



Adjustment Date: 01/05/2001 SLUANG1 Nm/Nb: 08498002  
01/19/1996 260YC 0000005302 FC:202 -195.00 0

Adjustment Date: 01/05/2001 SLUANG1 Nm/Nb: 08498002  
08/03/1995 140AA 0000002201 FC:201 -365.00 0

Adjustment Date: 01/05/2001 SLUANG1 Nm/Nb: 08498002  
01/19/1996 260YC 0000005301 FC:203 -11.00 0

Adjustment date: 01/05/2001 SLUANG1  
10/09/1997 EKURTZ 00000019 08498002  
01 FC:217 -475.00 0P